

12/02/99

Jc662 U.S. PTO

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Please type a plus sign (+) inside this box → ☐

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PTO/SB/05 (4/98)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
unless it displays a valid OMB control number.**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.

GTRC 2139

First Inventor or Application Identifier

Mark R. Prausnitz

Title

MICRONEEDLE DEVICE FOR EXTRACTION AND
SENSING OF BODILY FLUIDS

Express Mail Label No.

EL 273 010 358 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- 1.
- ☒
- * Fee Transmittal Form (e.g., PTO/SB/17)
-
- (Submit an original and a duplicate for fee processing)

- 2.
- ☒
- Specification [Total Pages 46]

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

- 3.
- ☒
- Drawing(s) (35 U.S.C. 113) [Total Sheets 11]

4. Oath or Declaration [Total Pages 3]

- a.
- ☒
- Unexecuted

- b.
- ☐
- Copy from a prior application (37 C.F.R. § 1.63(d))
-
- (for continuation/divisional with Box 16 completed)

- i.
- ☐
- DELETION OF INVENTOR(S)
-
- Signed statement attached deleting
-
- inventor(s) named in the prior application,
-
- see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

**NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27); EXCEPT
IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- 5.
- ☐
- Microfiche Computer Program (Appendix)
-
6. Nucleotide and/or Amino Acid Sequence Submission
-
- (if applicable, all necessary)
-
- a.
- ☐
- Computer Readable Copy
-
- b.
- ☐
- Paper Copy (identical to computer copy)
-
- c.
- ☐
- Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 7.
- ☐
- Assignment Papers (cover sheet & document(s))
-
- 8.
- ☐
- 37 C.F.R. § 3.73(b) Statement of Attorney
-
- (when there is an assignee)
- ☐
- Power of Attorney
-
- 9.
- ☐
- English Translation Document (if applicable)
-
- 10.
- ☐
- Information Disclosure Statement (IDS)/PTO-1449
- ☐
- Copies of IDS Citations
-
- 11.
- ☐
- Preliminary Amendment
-
- 12.
- ☒
- Return Receipt Postcard (MPEP 503)
-
- (Should be specifically itemized)
-
- 13.
- ☐
- * Small Entity Statement(s) filed in prior application,
-
- (PTO/SB/09-12)
- ☐
- Status still proper and desired
-
- 14.
- ☐
- Certified Copy of Priority Document(s)
-
- (if foreign priority is claimed)
-
- 15.
- ☒
- Other: check for \$611.00

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
-
- ☐
- Continuation
- ☐
- Divisional
- ☐
- Continuation-in-part (CIP) of prior application No: _____
-
- Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name	Patrea L. Pabst			
	Arnall Golden & Gregory, LLP			
Address	2800 One Atlantic Center			
	1201 West Peachtree Street			
City	Atlanta	State	GA	Zip Code
				30309-3450
Country	United States	Telephone	(404) 873-8794	Fax
				(404) 873-8795
		Registration No. (Attorney/Agent)	42,737	

Name (Print/Type) Kevin W. King Date December 2, 1999

Signature

Kevin W. King

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL for FY 1999

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

TOTAL AMOUNT OF PAYMENT (\$1,222.00)

Complete if Known

Application Number	
Filing Date	December 2, 1999
First Named Inventor	Mark R. Prausnitz
Examiner Name	
Group / Art Unit	
Attorney Docket No.	GTRC 2139

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 01-2507
Deposit Account Name Arnall Golden & Gregory, LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 760	201 380	Utility filing fee	760.00
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 760	208 380	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$760.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid	
37	-20 = 17	x 18	= 306	
Independent Claims	5	-3 = 2	x 78	= 156
Multiple Dependent				= 462

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 78	202 39	Independent claims in excess of 3
104 260	204 130	Multiple dependent claim, if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$462.00)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet.	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 380	216 190	Extension for reply within second month	
117 870	217 435	Extension for reply within third month	
118 1,360	218 680	Extension for reply within fourth month	
128 1,850	228 925	Extension for reply within fifth month	
119 300	219 150	Notice of Appeal	
120 300	220 150	Filing a brief in support of an appeal	
121 260	221 130	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,210	241 605	Petition to revive - unintentional	
142 1,210	242 605	Utility issue fee (or reissue)	
143 430	243 215	Design issue fee	
144 580	244 290	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 760	246 380	Filing a submission after final rejection (37 CFR 1.129(a))	
149 760	249 380	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) _____
**Represents the difference between the fee for a ☐ month extension of time and a ☐ month extension of time.

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Typed or Printed Name Kevin W. King

Signature Kevin W. King

Date 12/2/99

Complete (if applicable)

Reg. Number 42,737

Deposit Account User ID 01-2507

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Mark R. Prausnitz, Mark G. Allen, and Inder-Jeet Gujral

Express Mail Label No.:
EL 273 010 358 US

Serial No:

Filed: December 2, 1999

Date of Deposit:
December 2, 1999

For: *MICRONEEDLE DEVICE FOR EXTRACTION AND
SENSING OF BODILY FLUIDS*

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

**EXPRESS MAIL TRANSMITTAL LETTER
FOR PATENT APPLICATION WITH CERTIFICATE OF MAILING**

Sir:

Pursuant to 35 U.S.C. § 21(a) as amended by Public Law 97-247 and 37 C.F.R. § 1.10, Mark R. Prausnitz, Mark G. Allen, and Inder-Jeet Gujral enclose for filing the patent application entitled "MICRONEEDLE DEVICE FOR EXTRACTION AND SENSING OF BODILY FLUIDS " which claims priority to U.S. Provisional Serial No. 60/146,200, filed July 29, 1999. The application includes 1 page of abstract, 39 pages of specification, 5 pages of claims, 11 sheets of informal drawings, and an unexecuted declaration. A check in the amount of \$611.00 to cover half of the filing fee is enclosed. The Commissioner is hereby authorized to charge Deposit Order Account No. 01-2507 in the amount of \$611.00, which represents the difference between the filing fee of \$1,222.00 for a large entity and the enclosed check for \$611.00. An



*"MICRONEEDLE DEVICE FOR EXTRACTION AND
SENSING OF BODILY FLUIDS"*

By: Mark R. Prausnitz, Mark G. Allen, and Inder-Jeet Gujral

Filed: December 2, 1999

EXPRESS MAIL TRANSMITTAL LETTER FOR PATENT APPLICATION

Express Mail Label No. EL 273 010 358 US

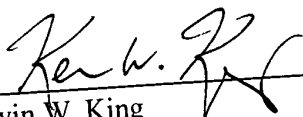
executed Declaration, Assignment, and Verified Statement Claiming Small Entity Status will be submitted shortly.

This application is being filed on December 2, 1999, by mailing the application to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231 via the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 C.F.R. § 1.10. The Express Mail Label No. EL 273 010 358 US appears in the heading of this paper which is attached to the application papers pursuant to 37 C.F.R. § 1.10(b).

All correspondence concerning this application should be mailed to:

Patrea L. Pabst, Esq.
ARNALL GOLDEN & GREGORY, LLP
2800 One Atlantic Center
1201 West Peachtree Street
Atlanta, GA 30309-3450

Respectfully submitted,


Kevin W. King
Reg. No. 42,737

Date: December 2, 1999
ARNALL GOLDEN & GREGORY, LLP
2800 One Atlantic Center
1201 West Peachtree Street
Atlanta, GA 30309-3450
(404) 873 8596
(404) 873-8597 (fax)

GTRC 2139
11326/10

"MICRONEEDLE DEVICE FOR EXTRACTION AND
SENSING OF BODILY FLUIDS"

By: Mark R. Prausnitz, Mark G. Allen, and Inder-Jeet Gujral

Filed: December 2, 1999

EXPRESS MAIL TRANSMITTAL LETTER FOR PATENT APPLICATION

Express Mail Label No. EL 273 010 358 US

CERTIFICATE OF MAILING UNDER 37 CFR §1.10

I hereby certify that this Utility Patent Application Transmittal under 37 CFR 1.53(b), and any documents referred to as attached therein, are being deposited with the United States Postal Service on this date, December 2, 1999, in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR 1.10, Mailing Label Number EL 273 010 358 US, addressed to Box Patent Application, the Assistant Commissioner for Patents, Washington, D.C. 20231.



Eva Mukasa

Date: December 2, 1999